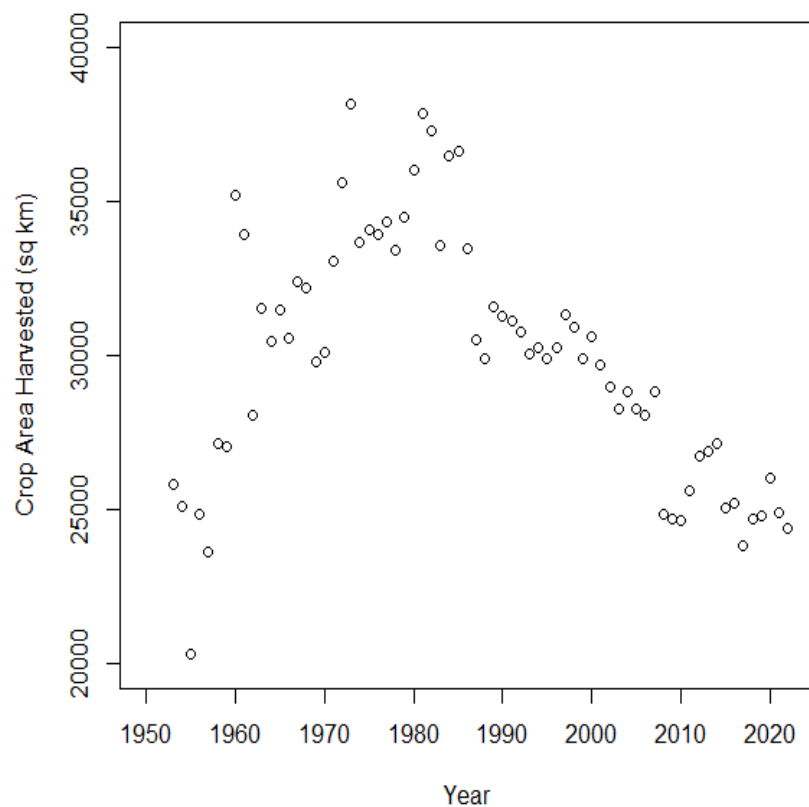


Crop Yield Calculations for Estimating Nutrient Application and Projecting Future Demand

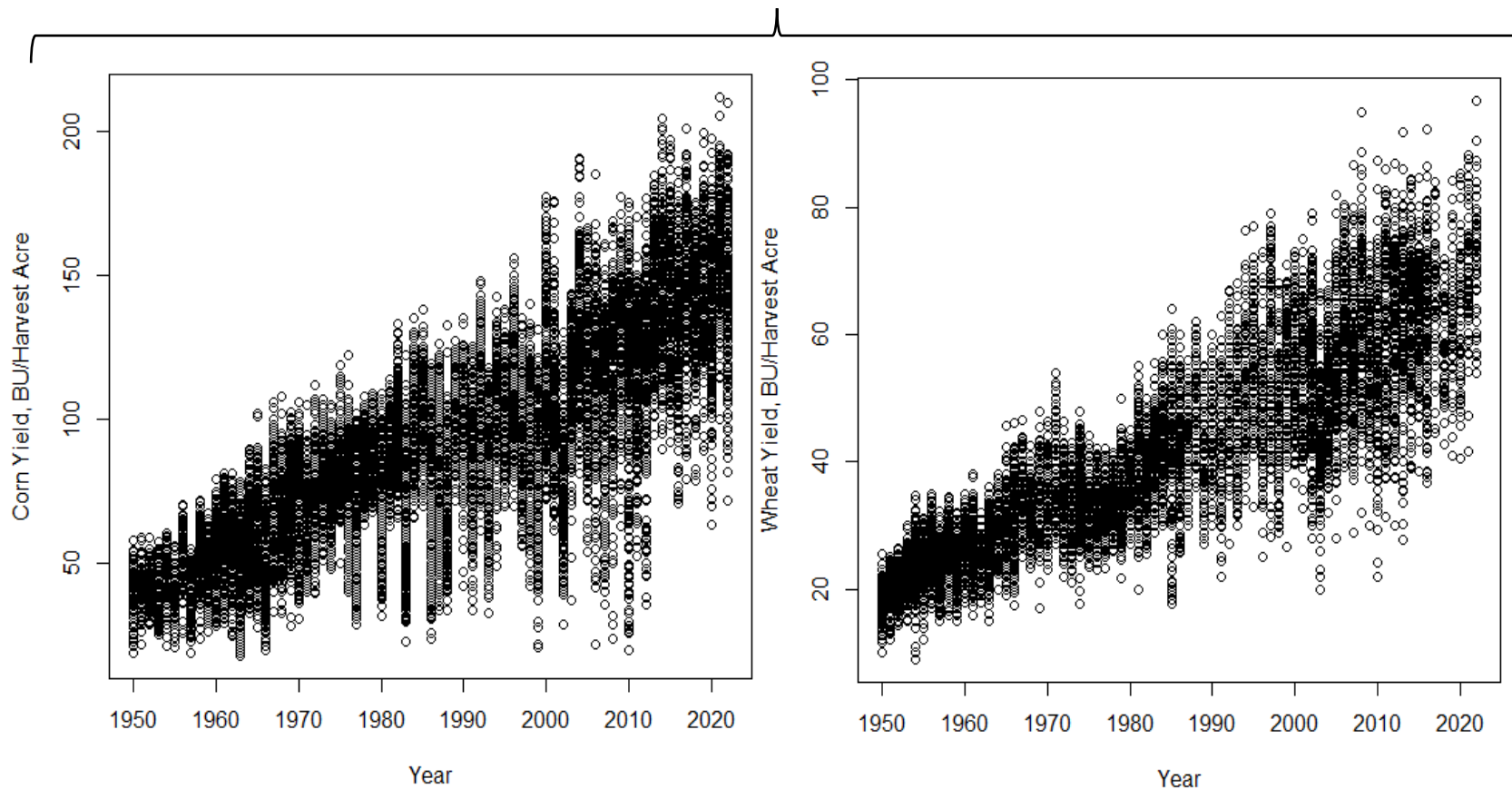
Joseph Delesantro
ORISE Fellow, CBPO Modeling Team

Changing cropland area... AND changing crop yields

CBW Cropland Area

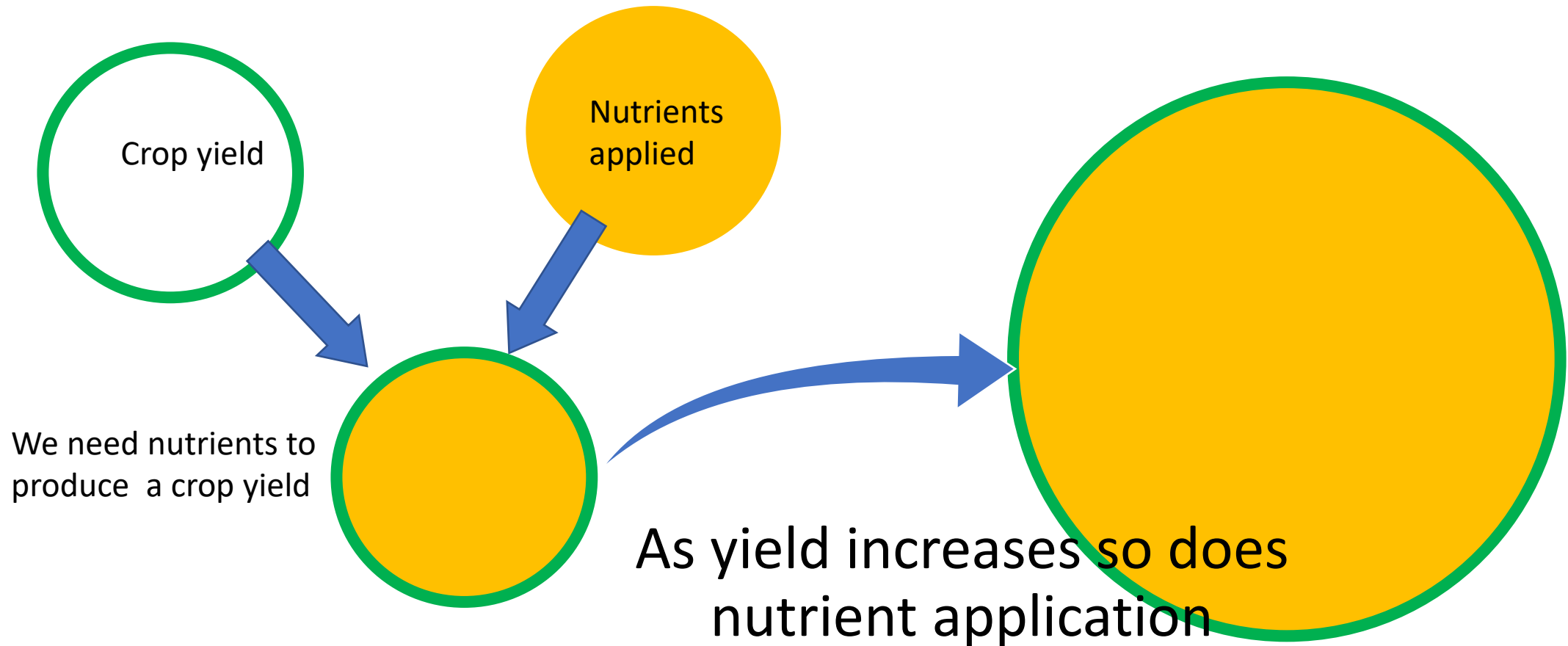


Example CBW County Crop Yields

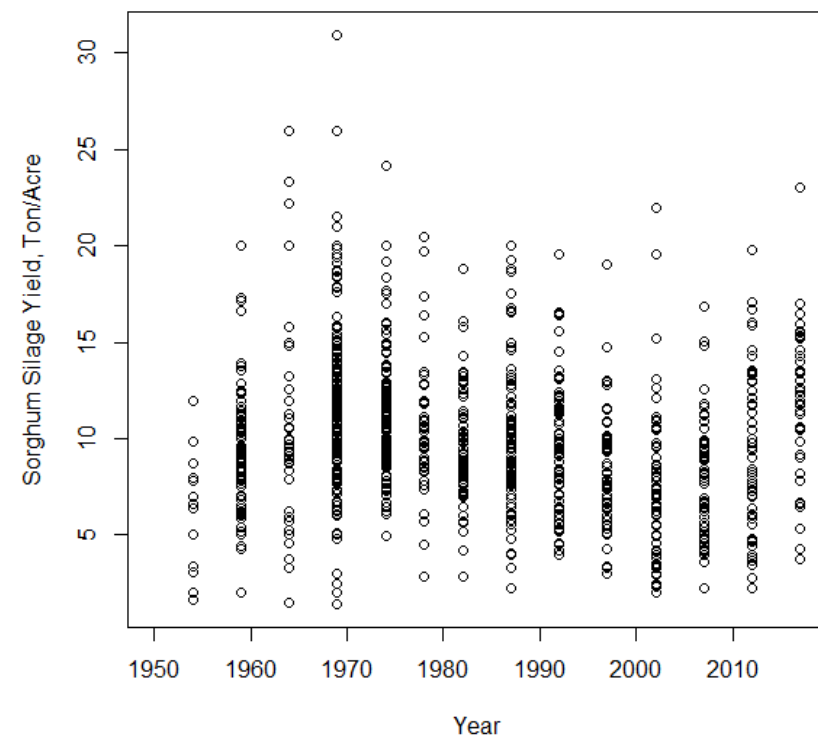
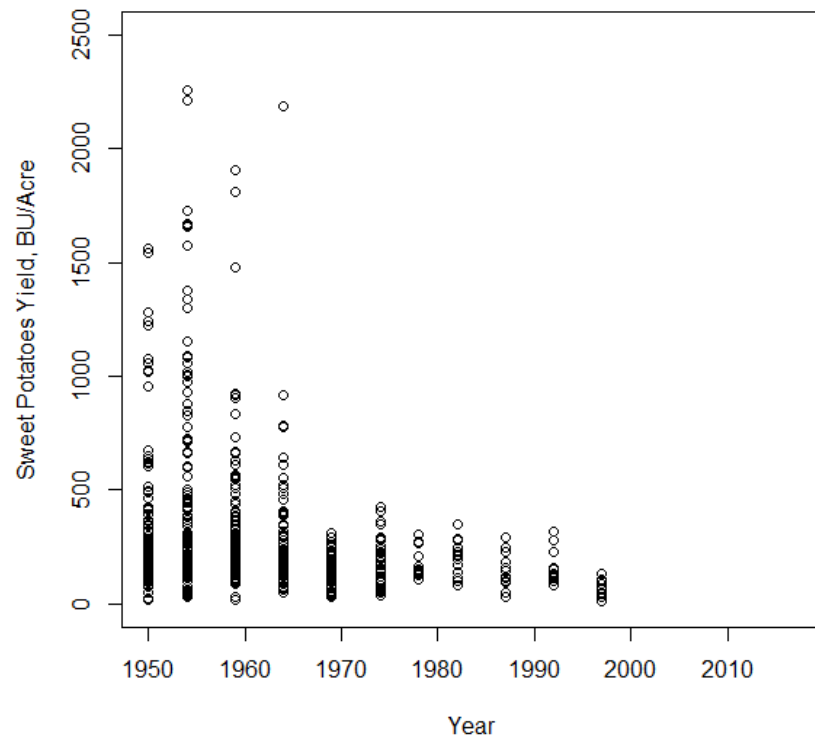
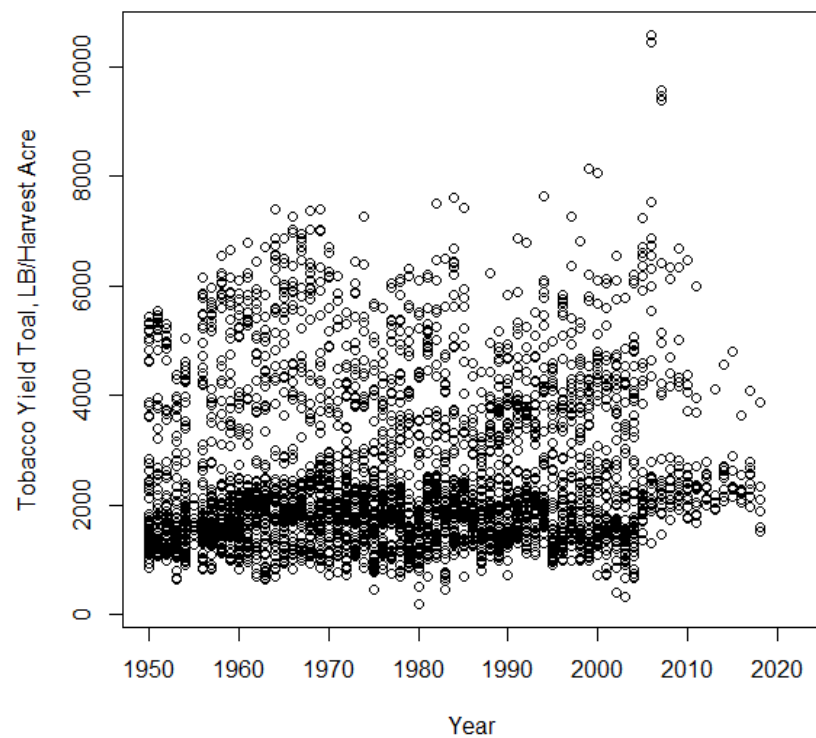


Why crop yields matter

- Yields and nutrient applications are tied together

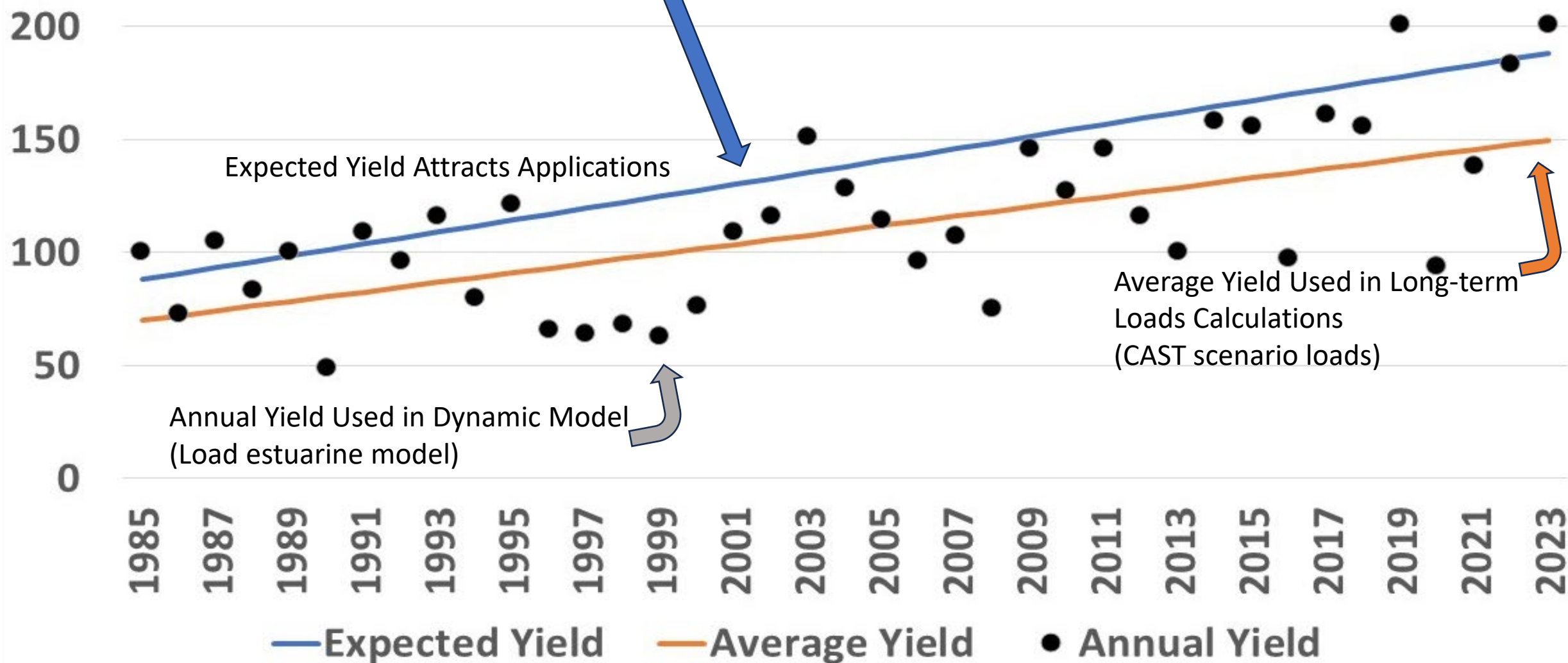


Changing cropland area... AND changing crop yields



*EXAMPLE
DATA ONLY

$$N \text{ applied}_{(\text{crop } i)} = \text{Acres}_{(\text{crop } i)} * \text{Expected Yield}_{(\text{crop } i)} * \text{lbs N/unit yield}_{(\text{crop } i)}$$

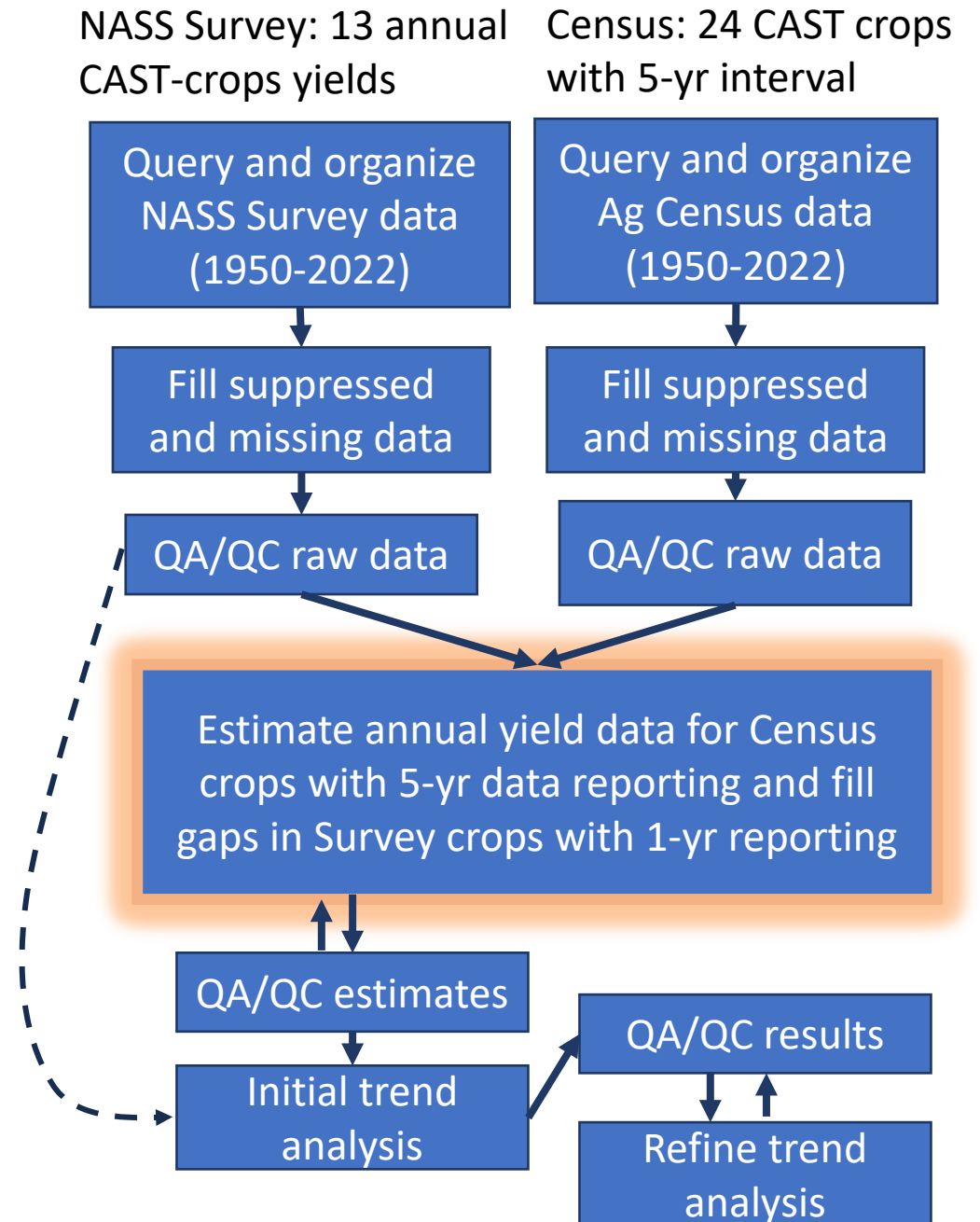


Planned path for investigation

Goals:

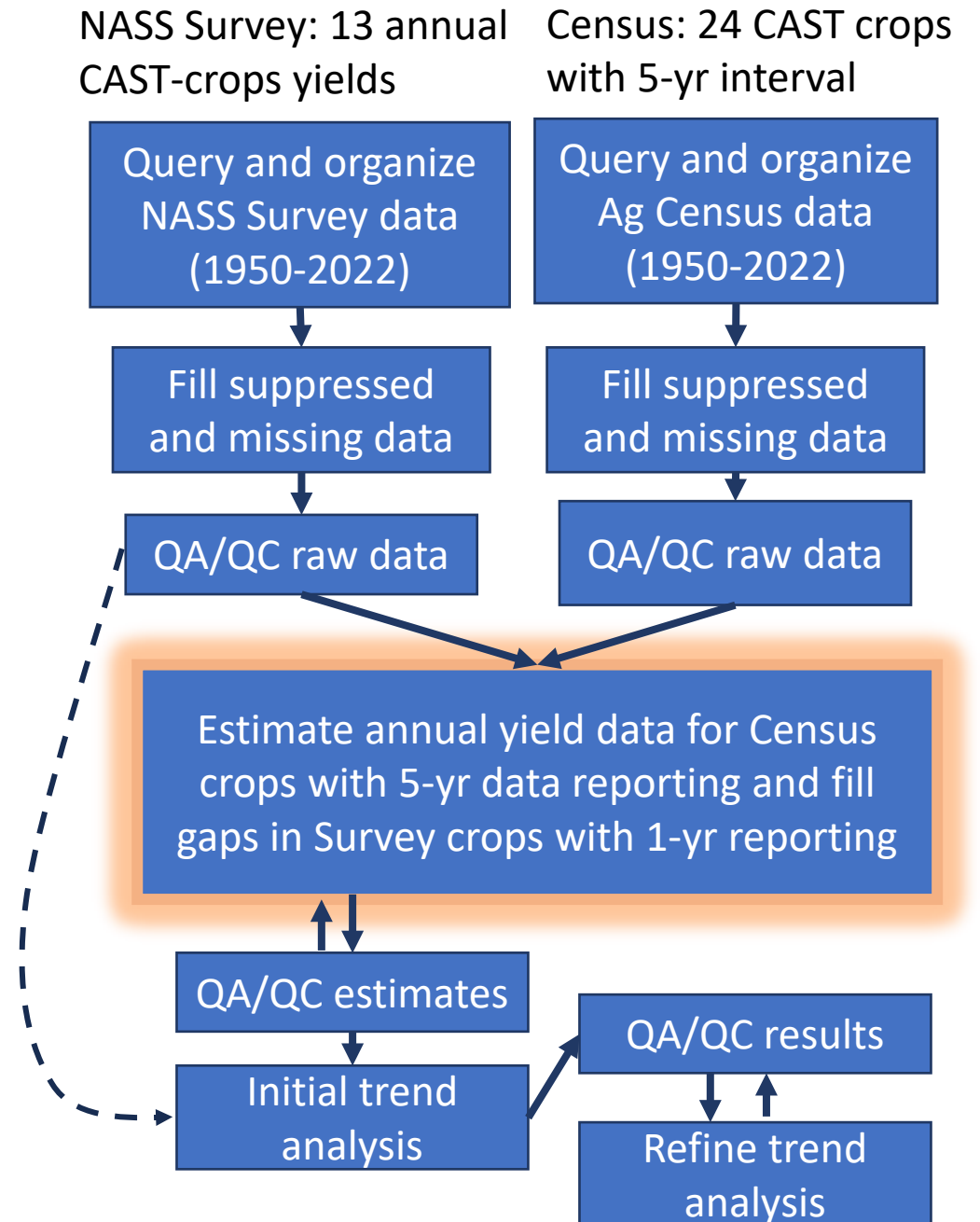
- Estimate farmer yield expectations at the county level which drive the application of nutrients.
- Estimate various yield trends to support several potential scenarios.

Approach: Use trend analysis of long-term annual crop yields.



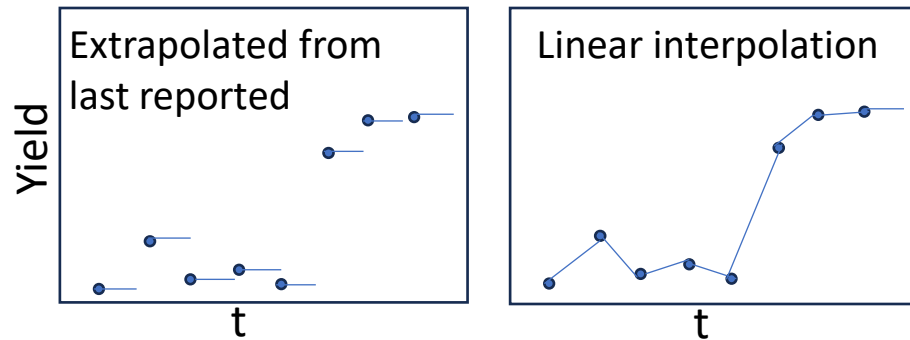
Crop data collection

- 94 CAST-crops with both a potential yield and N-application
 - Excludes pasture, fallow, unmanaged or wild covers
- “Complete” data for 24 of these CAST-crops
 - Complete = data spanning >85% of period 1950-2017
 - **91% of crop land area, 95% of N applied to crop land**
- Partial data for an additional 40 crops
 - Partial = partial spatial range, partial time range, state-level only
 - 2.2% of crop land area, 3% of N applied to crop land
- No yield data for 31 crops
 - 6% of crop land area, 2% of N applied to crop land



Annual estimation of yields from available data and environmental variables

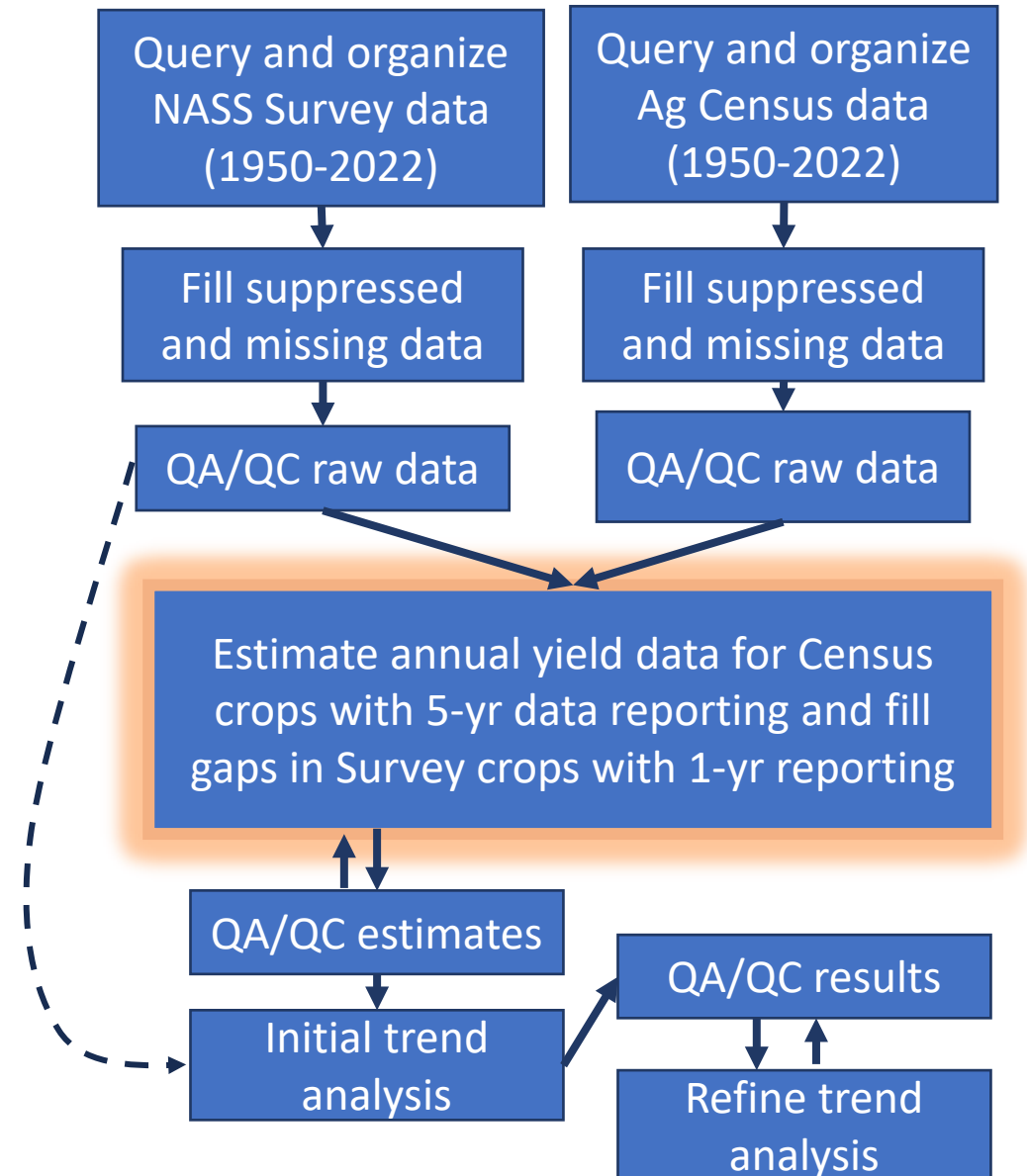
- Data gaps or coarse data can introduce uncertainty and errors in annual estimates. Conceptual examples:



- Consecutive Census years coincident with bad weather/yield years can skew trend analysis.
“USDA-NASS Census reported crop yields which reflected lower than expected yields due to negative weather influences. These reports have a dampening effect on the increase of crop yields in more recent years.” –Mark Dubin

NASS Survey: 13 annual
CAST-crops yields

Census: 24 CAST crops
with 5-yr interval



Aggregate to growth regions for more consistent yield data

The problem

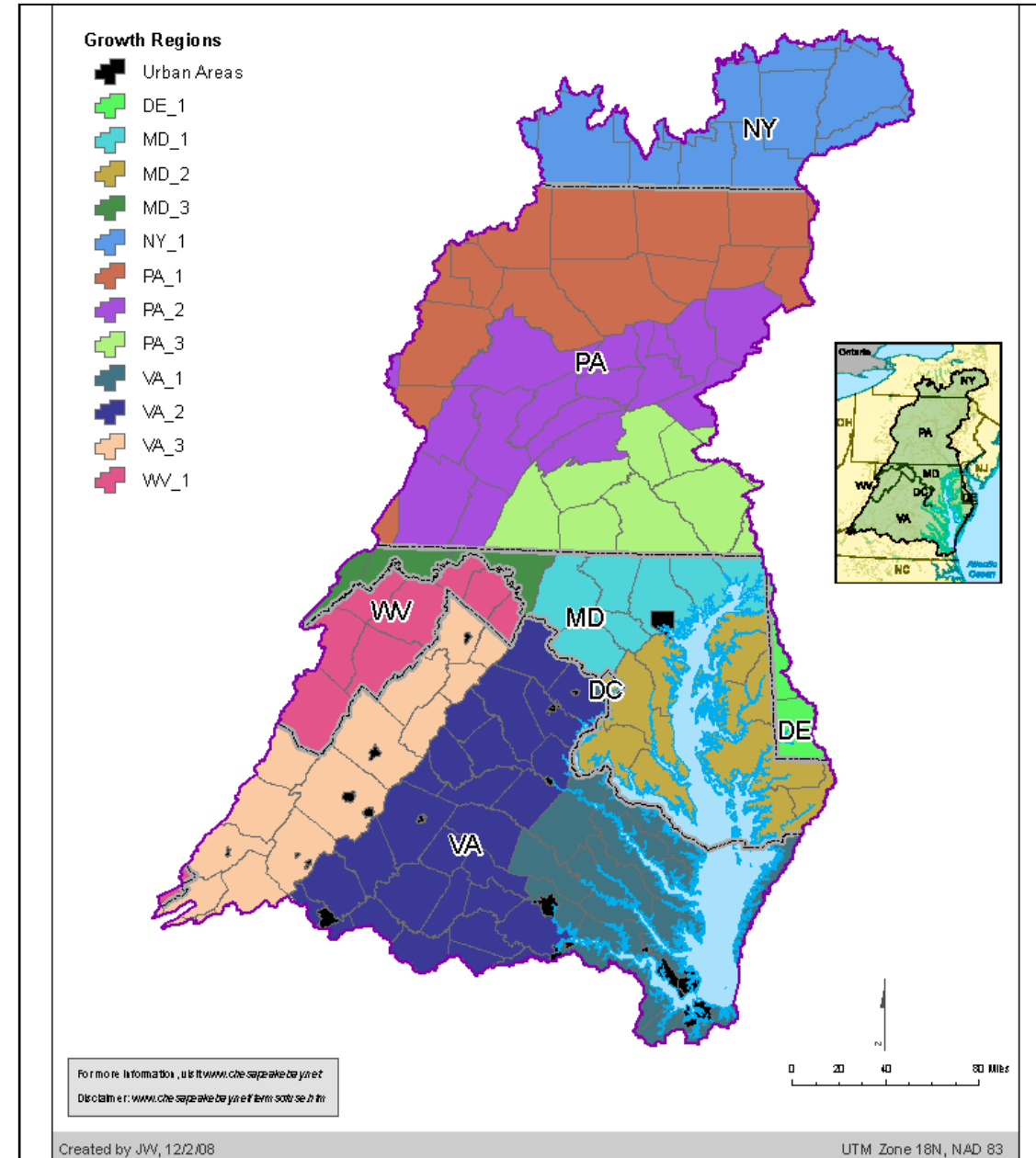
- At county level survey response data can be noisy
- There are gaps in county level data for many reasons

The solution

- Aggregating to the growth regions averages out noise and creates more complete time series
 - Aggregation=Weighted average by the county cropland area. Same method applies to yields and predictors.

Trade offs

- Compresses variation in geospatial predictors and reduces spatial statistical power (from many counties to few growth regions)
- The growth regions become the most important geospatial predictor



Potential statistical modeling methods

$$\text{Yield}_{\text{crop } i} \sim f(\text{growth regions, time, weather})$$

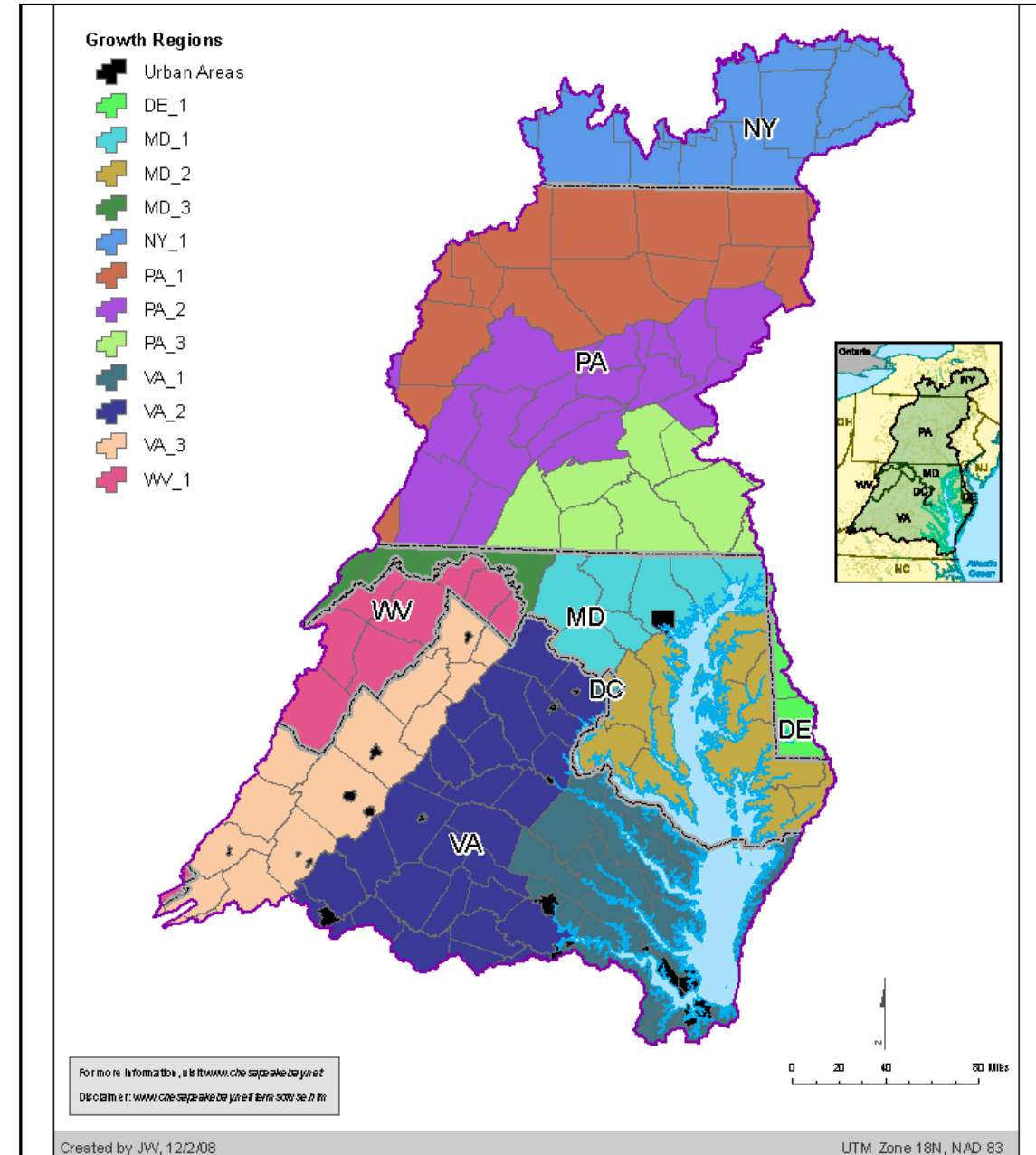
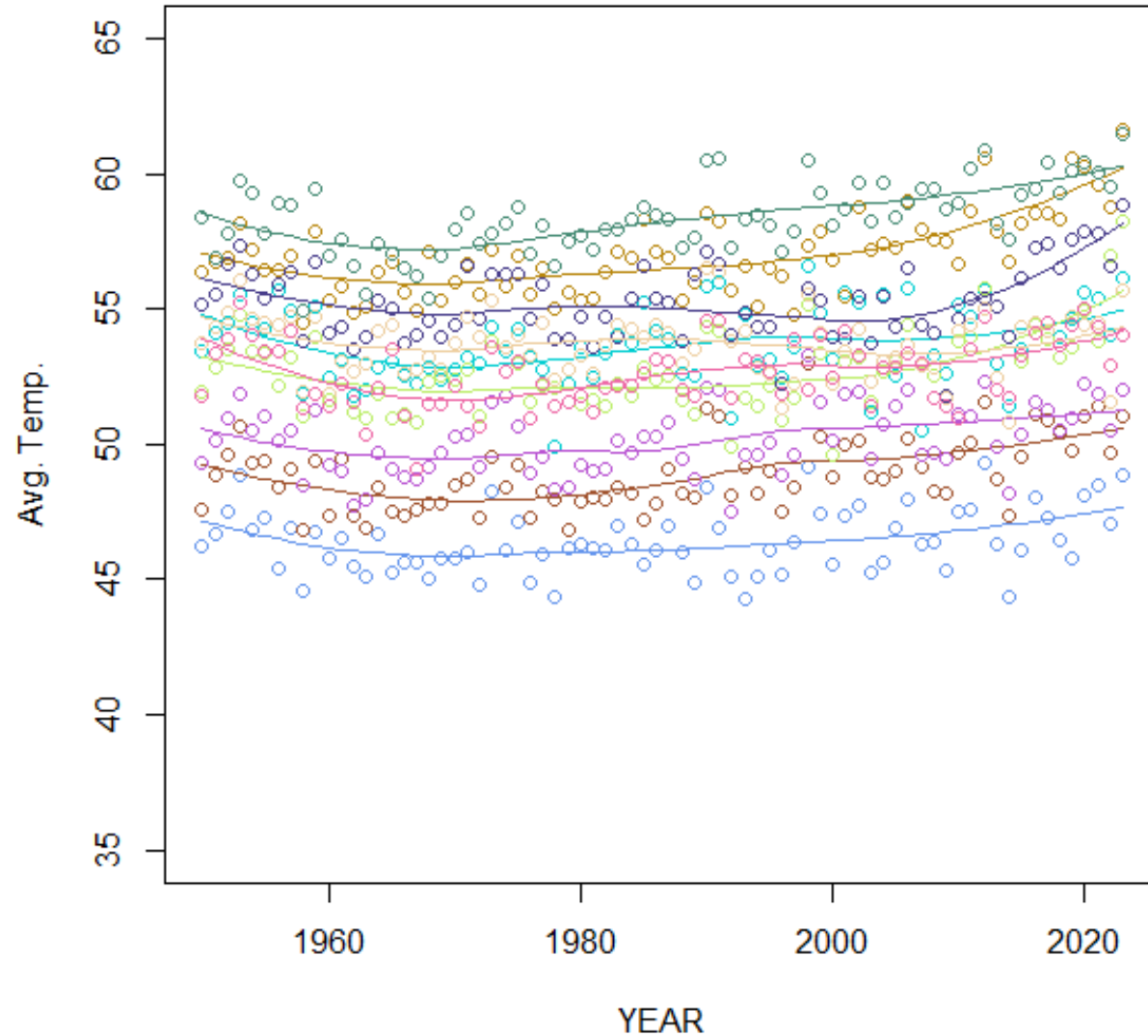
$$\text{Yield}_{\text{crop } i} \sim f(\text{growth regions: weather, GR: time})$$

$$\text{Yield}_{\text{crop } i, \text{ growth region } j} \sim f(\text{time, weather})$$

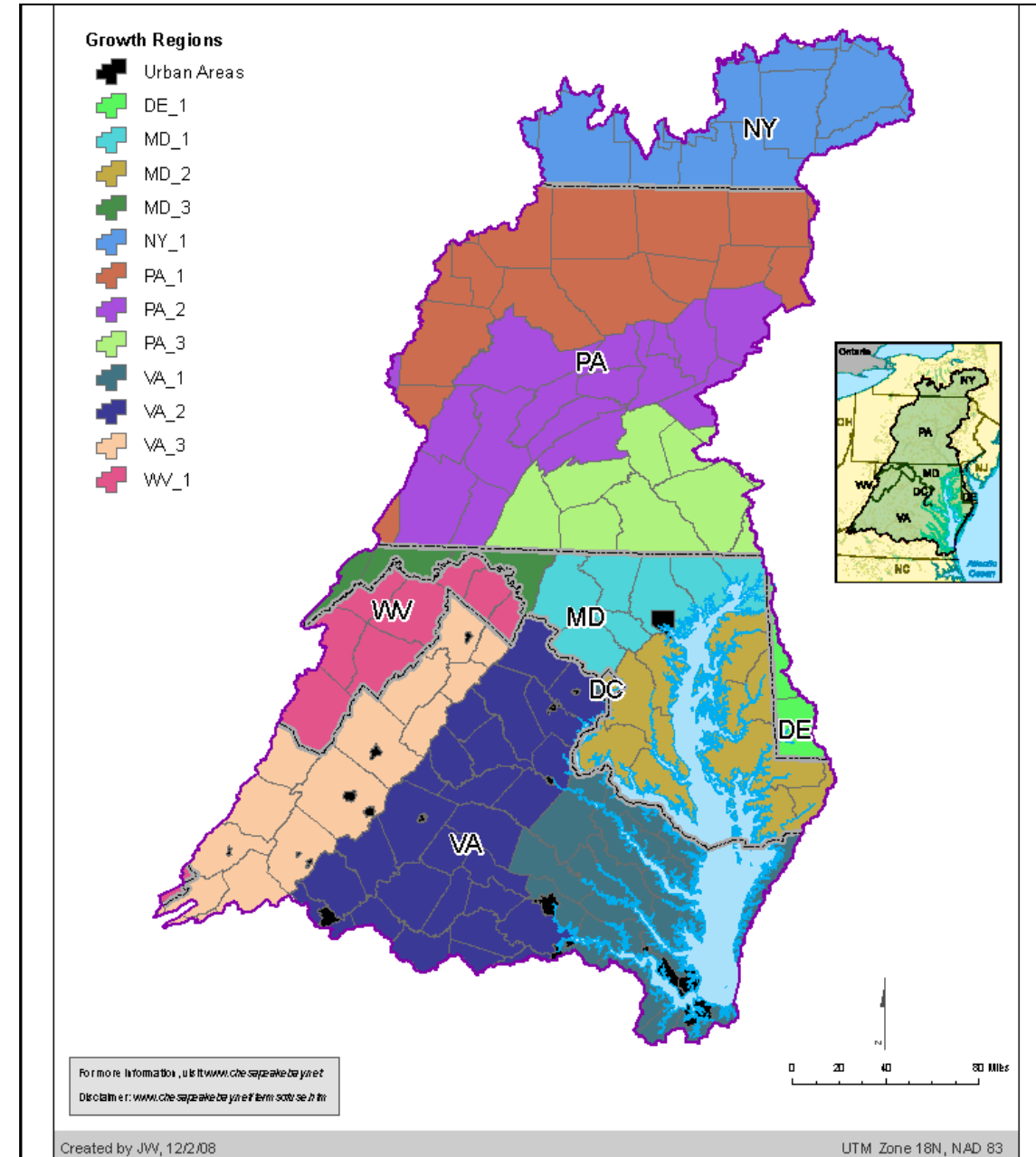
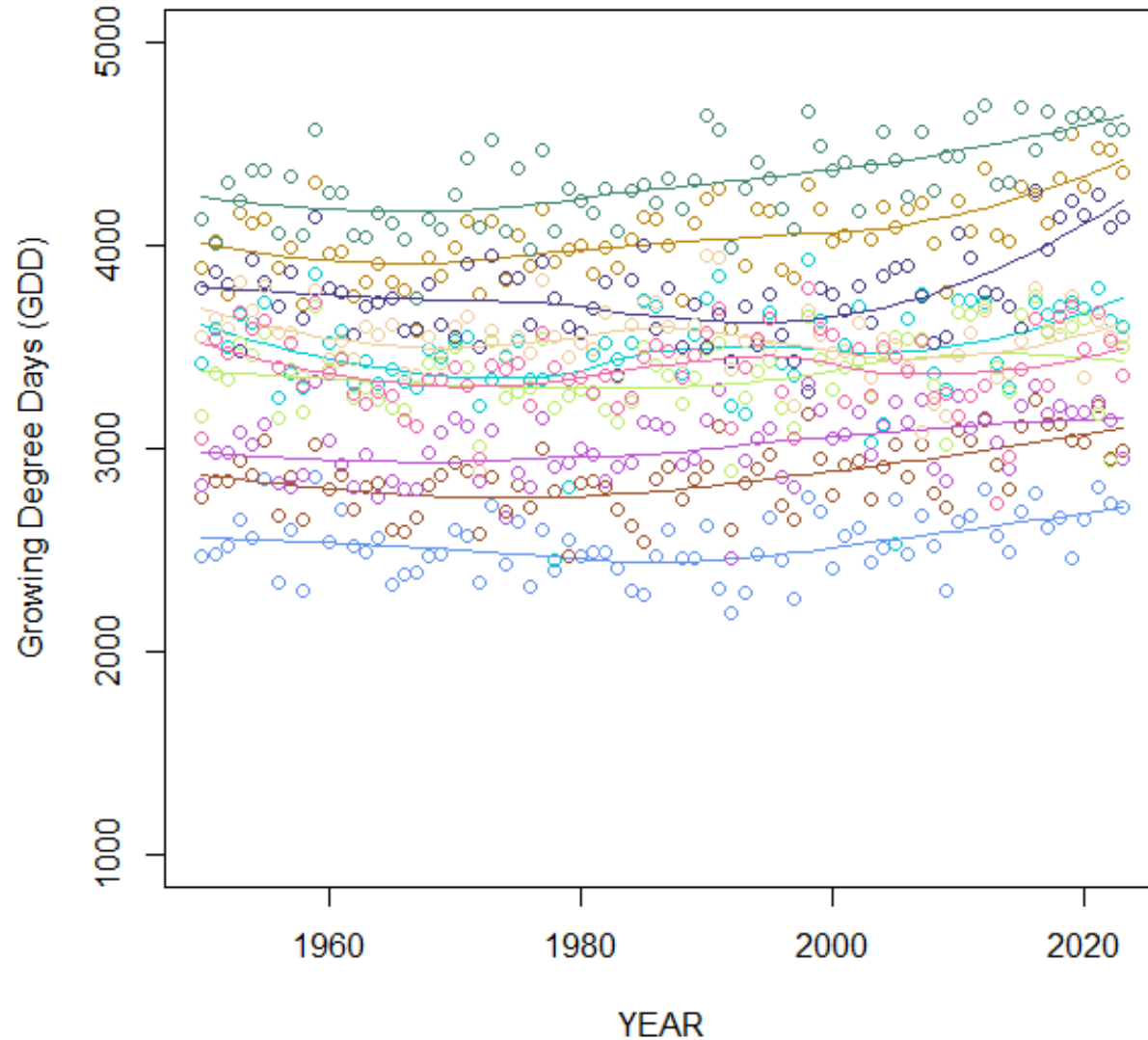
$$\text{Yield}_{\text{crop } i, \text{ growth region } j} \sim f(\text{time, weather, crop yields})$$

↓
Corn-grain Oats
Corn-silage Wheat
Barley Soy
Alfalfa

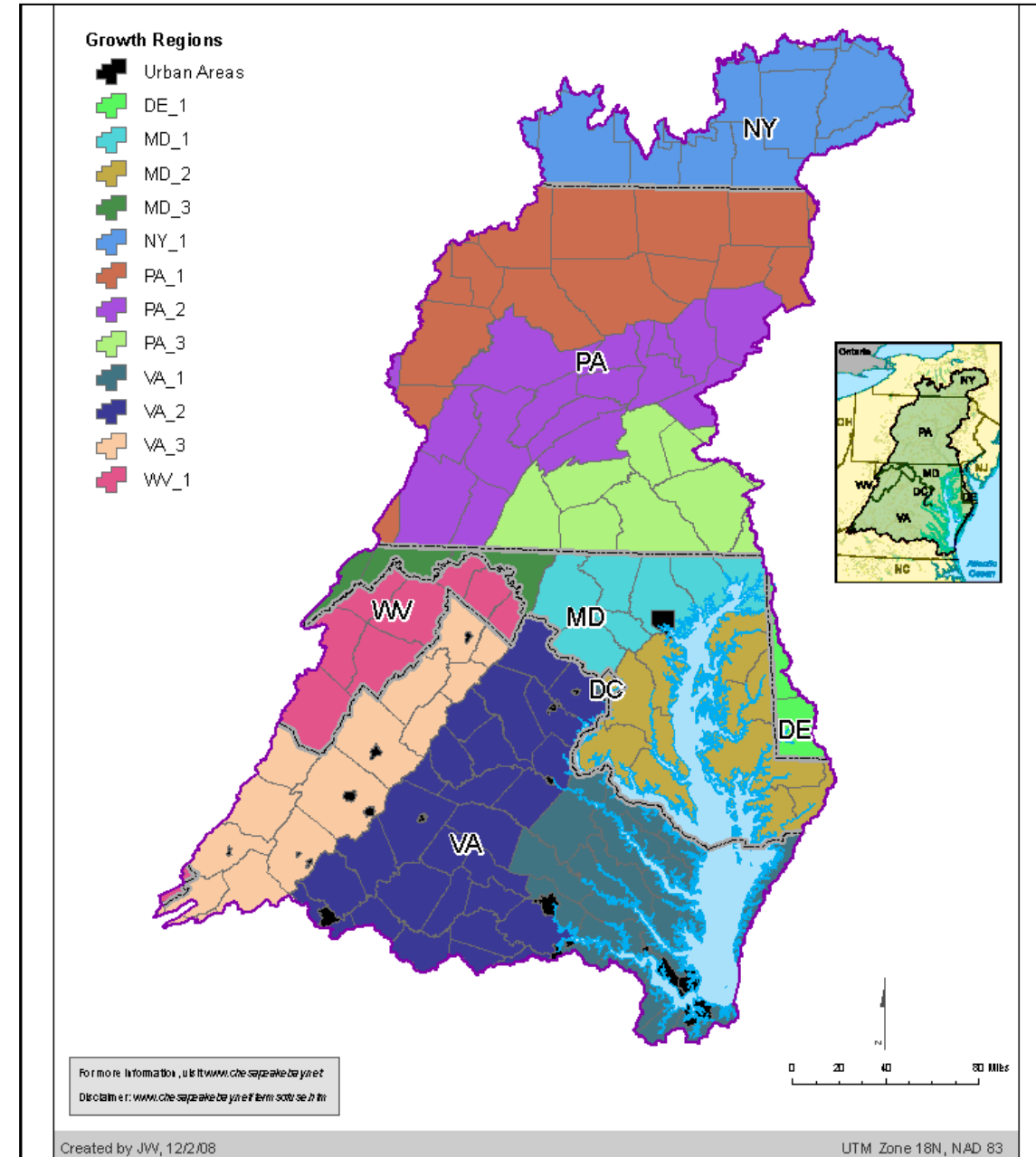
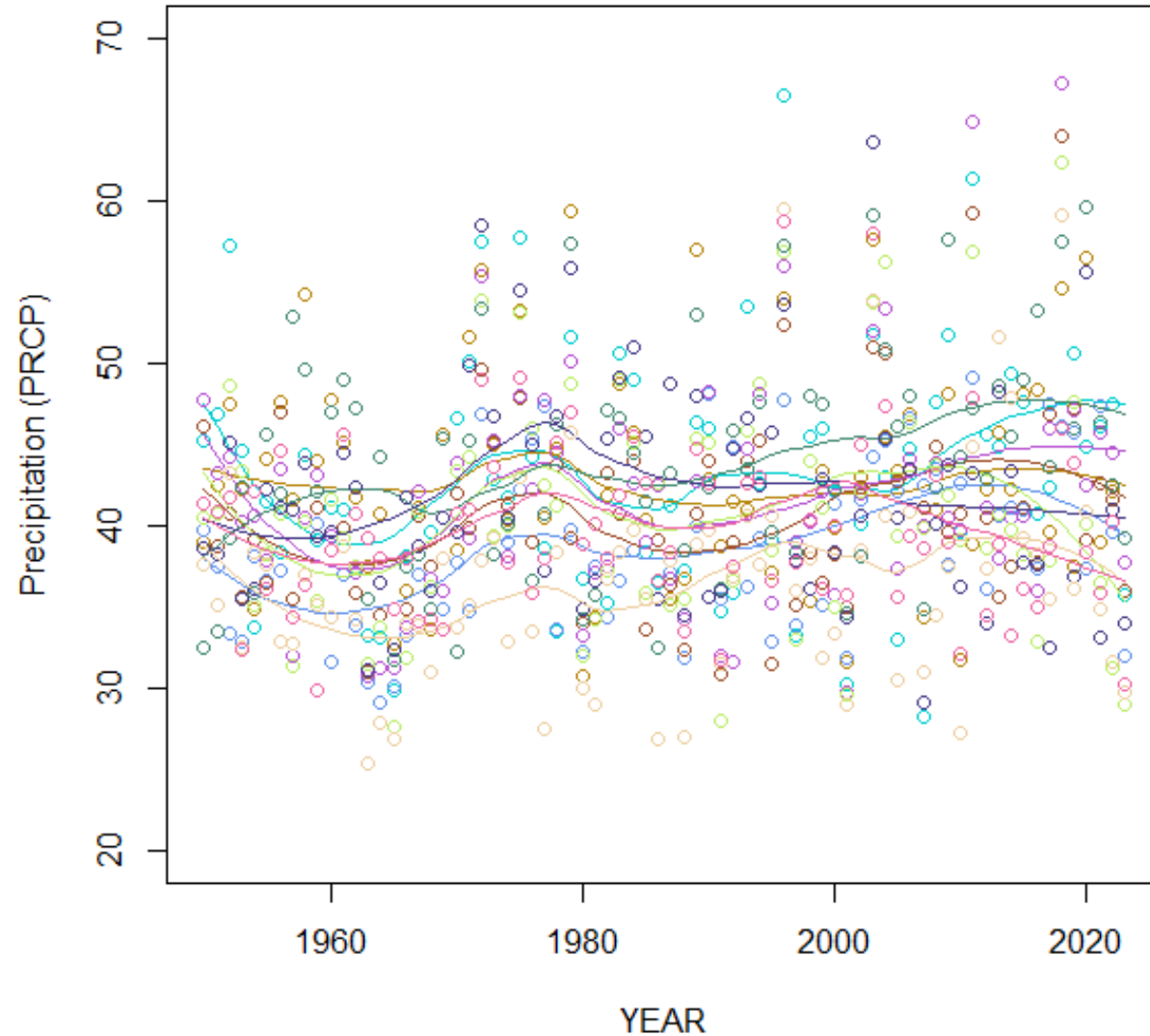
Weather data: Temperature



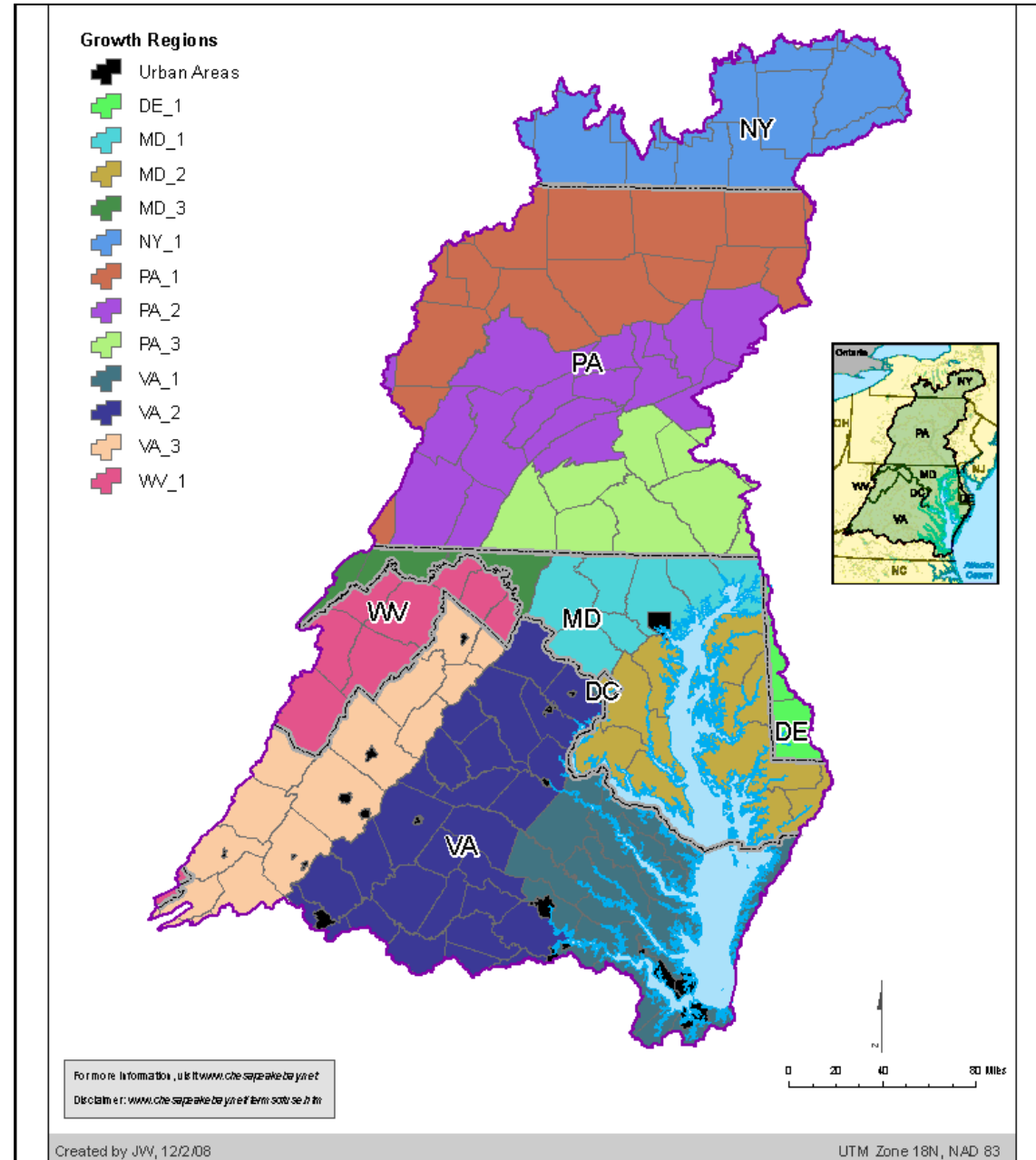
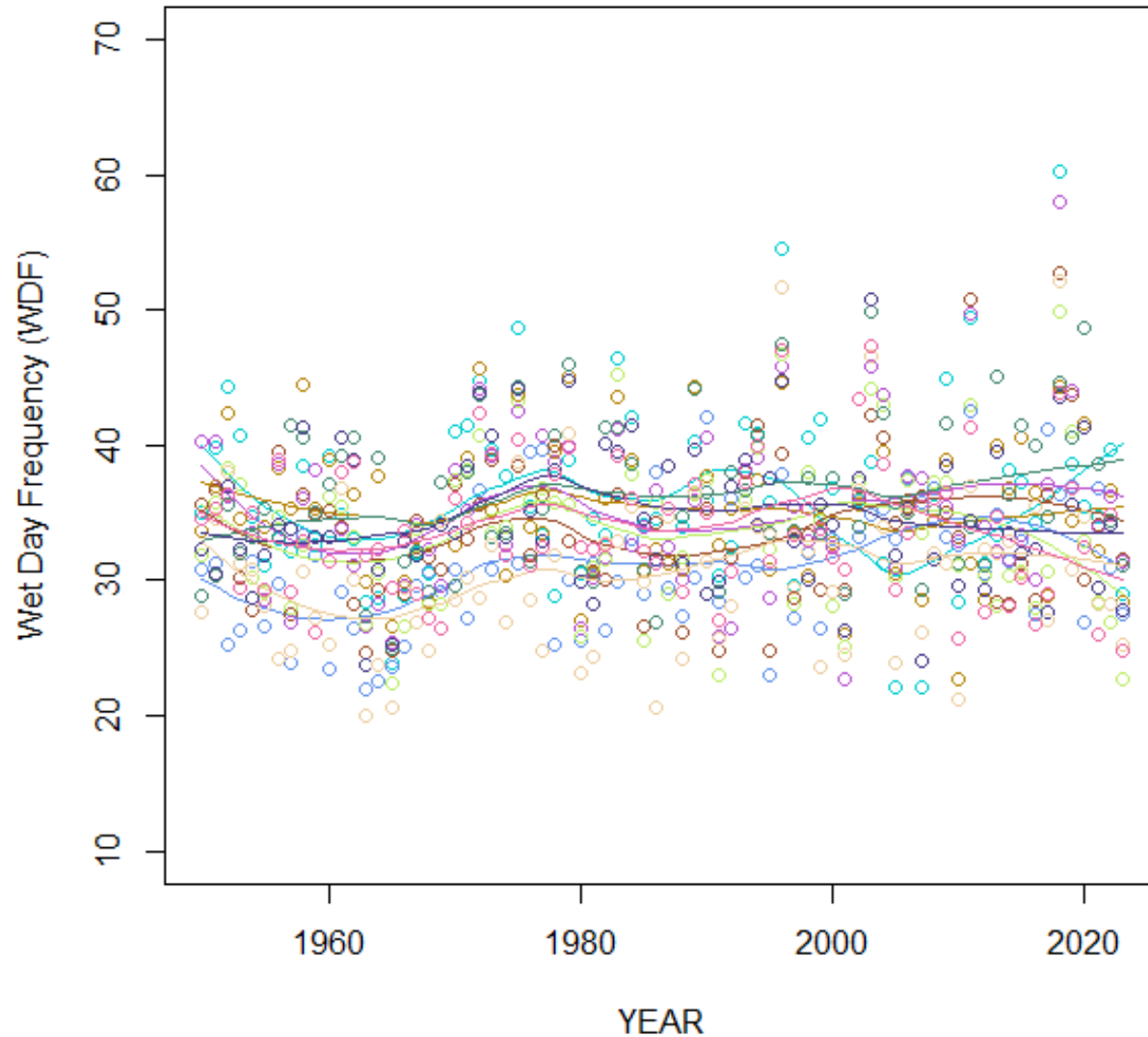
Weather data: GDD



Weather data: Precip.



Weather data: Wet Day Freq.



Preliminary statistical modeling

All forms for multivariate linear models, bootstrapped (LOO).

Lots of good options, need to evaluate based on:

- consistency/stability
- complexity
- variation explained

$$\text{Yield}_{\text{crop } i} \sim f(\text{growth regions, time, weather}) \quad R^2 \sim 0.46$$

- Single model per crop with greater consistency in estimate yields between growth regions

$$\text{Yield}_{\text{crop } i} \sim f(\text{growth regions: weather, GR: time}) \quad R^2 \sim 0.63$$

- Mixed effects model

$$\text{Yield}_{\text{crop } i, \text{ growth region } j} \sim f(\text{time, weather}) \quad R^2 \sim 0.71$$

- Generates many discrete models ($i \times j$), requires automated model selection
- Stepwise model selection optimizing Bayesian Information Criteria

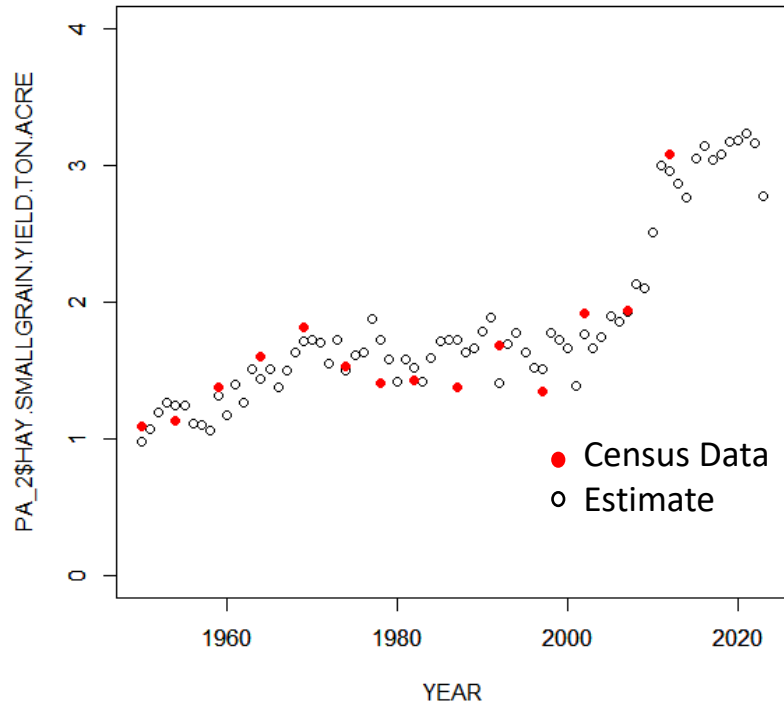
$$\text{Yield}_{\text{crop } i, \text{ growth region } j} \sim f(\text{time, weather, crop yields}) \quad R^2 \sim 0.88$$

- Greater opportunity for over parametrization
- Limited ability for projection outside crop data range

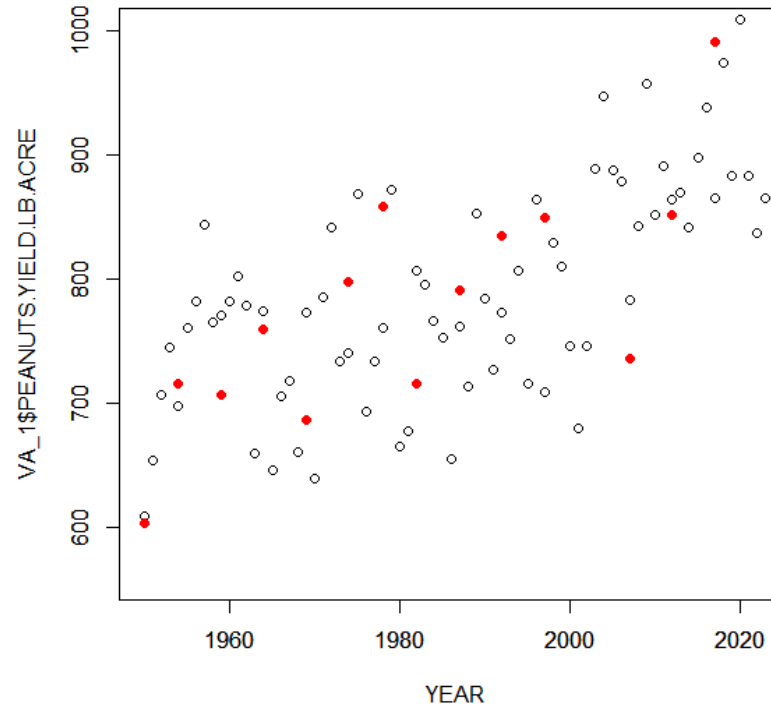
→
Corn-grain Oats
Corn-silage Wheat
Barley Soy
Alfalfa

Estimate examples

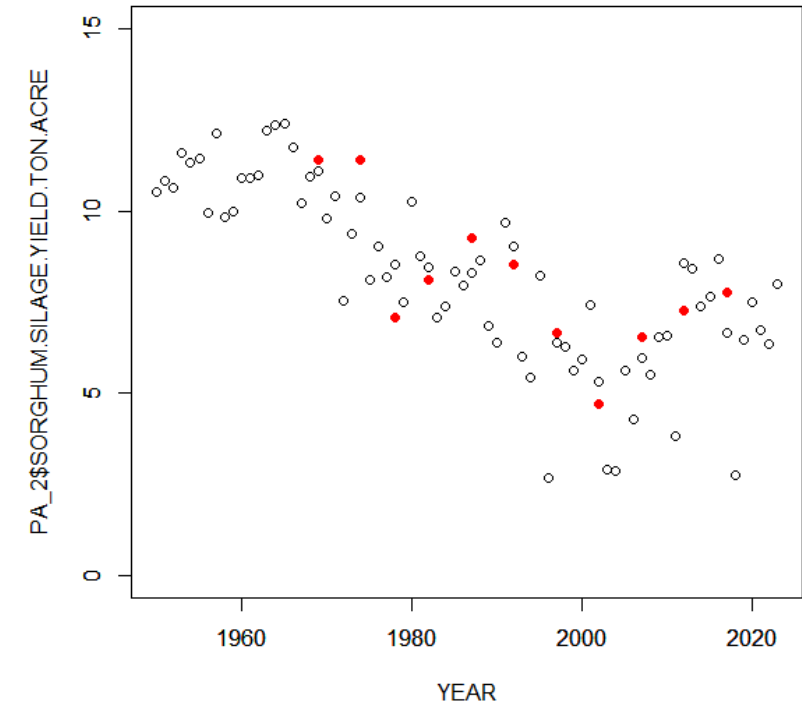
Hay.SmallGrain $\sim 1.24e-02*YEAR + 8.84e-04*GDD - 2.47$, $R^2=0.86$



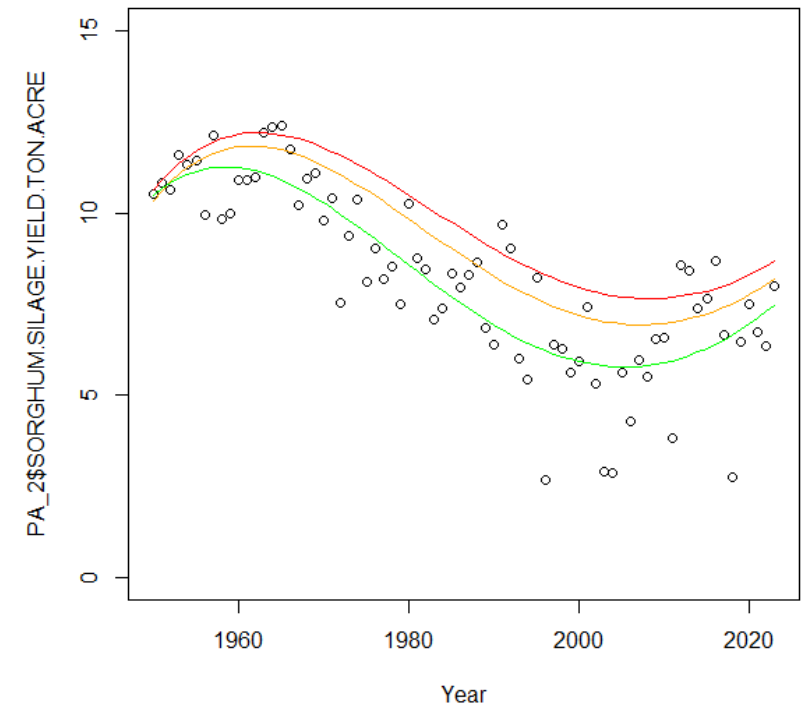
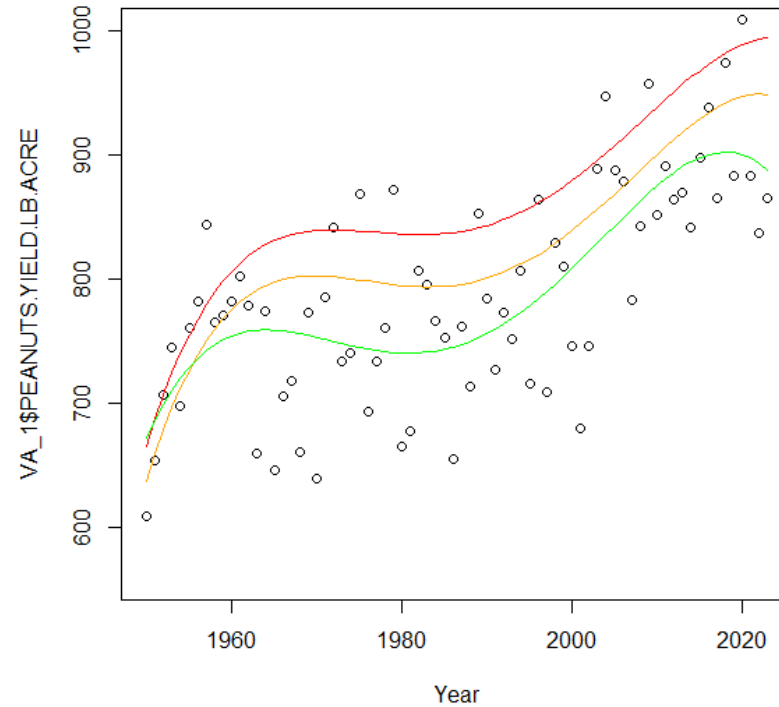
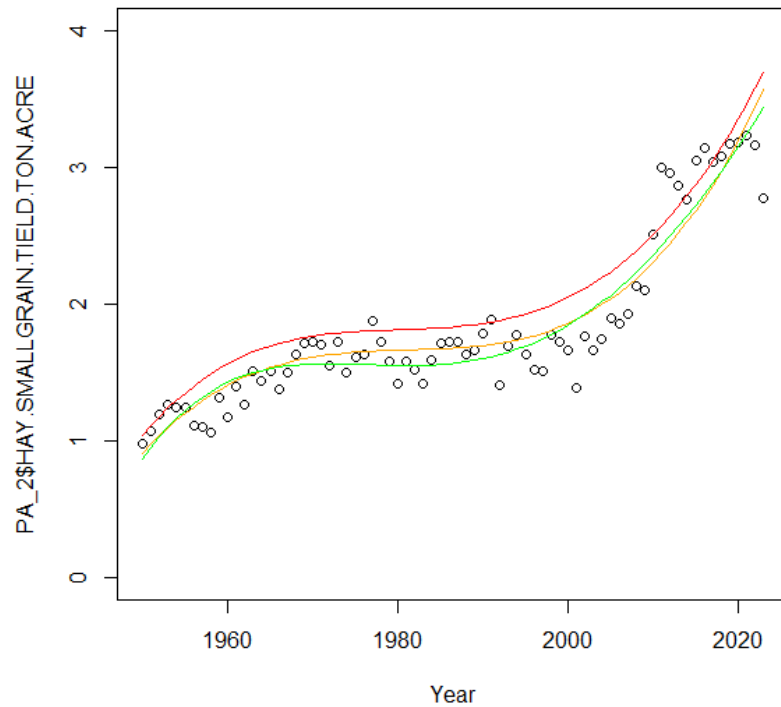
Peanuts $\sim 9.23*PRCP + 14.46*AvgTMAX - 530.54$, $R^2=0.32$



Sorghum.Silage $\sim -0.11*YEAR - 0.20*PRCP + 0.22*AvgTMIN + 226.15$, $R^2=0.80$



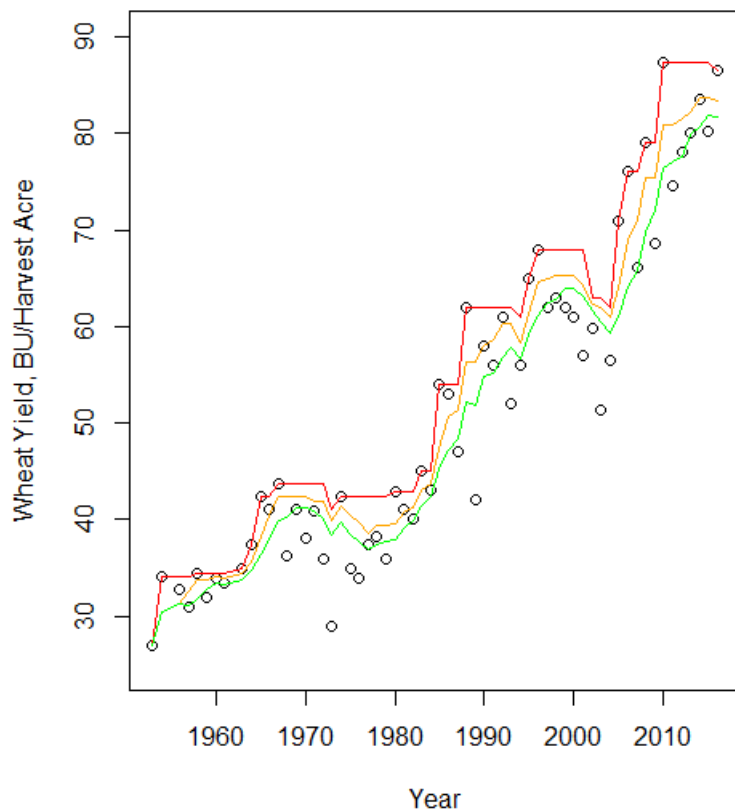
Apply trend analysis to annual estimates



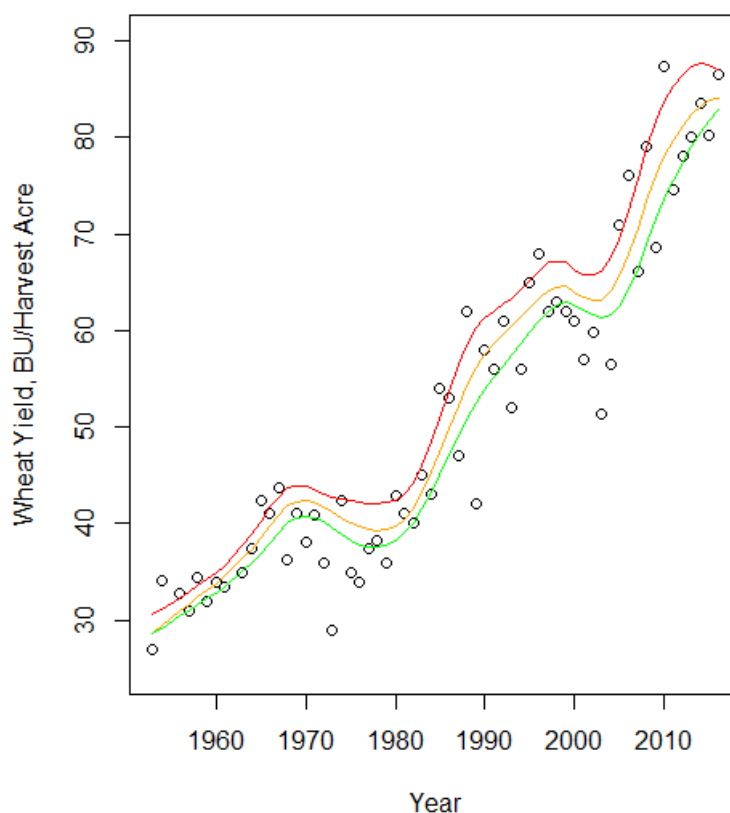
- Max yield expectation (5-year max)
- Weather independent yield expectation (Average of best 3 of past 5 years)
- 5-yr mean yield

Various trend analysis options

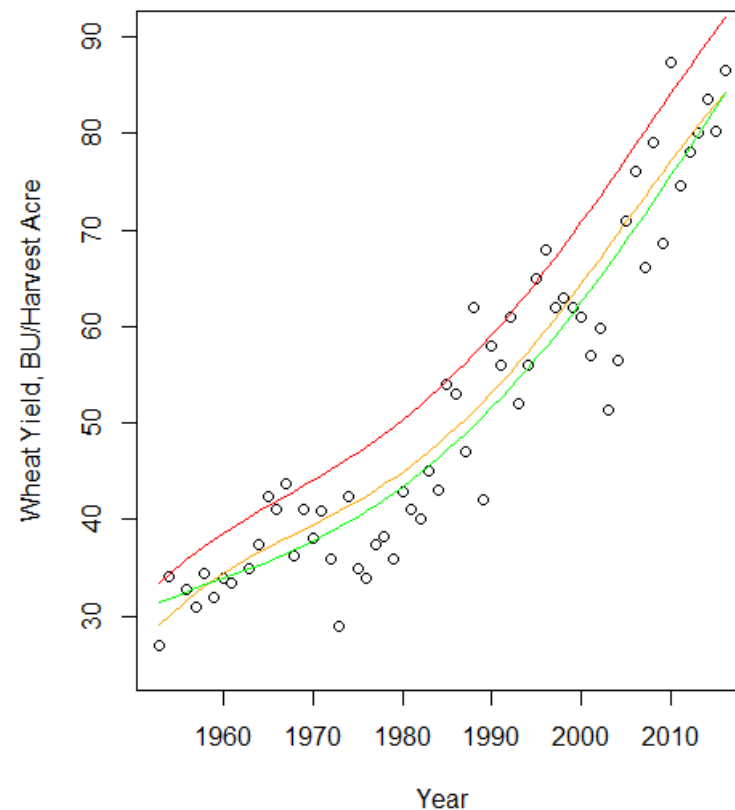
Moving window



Moving window smoothing



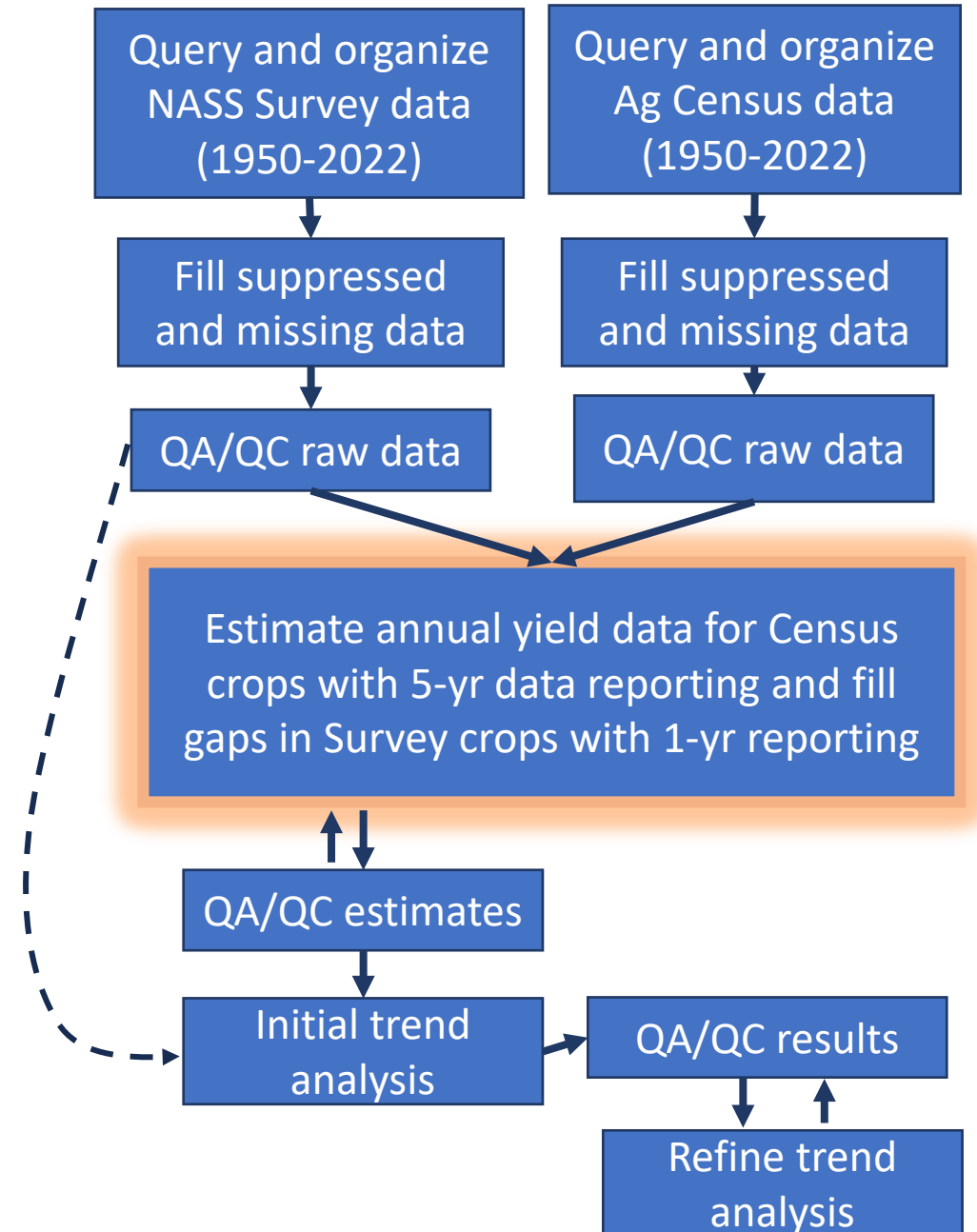
Regression, weighted residuals



- Max yield expectation (5-year max)
- Weather independent yield expectation (Average of best 3 of past 5 years)
- 5-yr mean yield

What's next

- Further evaluation of methods
 - Further refinement of methods and input data
- The last 5% of crop land N application
 - Estimation method can be applied to many crops with partial data
- QA/QC results
- Decide on final estimation method
- Decide on final trend analyses



Questions?

Example of Nutrient applications

